

NORTH HERTFORDSHIRE DISTRICT COUNCIL



26 February 2021

Our Ref FAR – 8.03.2021
Contact. Committee Services
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To: Members of the Committee: Kate Aspinwall (Chair), Sam North (Vice-Chair), Sam Collins, Steve Deakin-Davies, Morgan Derbyshire, Adem Ruggiero-Cakir and Michael Weeks

Substitutes: Councillors George Davies, Steve Jarvis, Ian Moody, Helen Oliver, Kay Tart and Terry Tyler

NOTICE IS HEREBY GIVEN OF A

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

to be held as

A VIRTUAL MEETING

On

MONDAY, 8TH MARCH, 2021 AT 7.30 PM

Yours sincerely,

Jeanette Thompson
Service Director – Legal and Community

****MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION ON YOUR TABLET BEFORE ATTENDING THE MEETING****

Agenda

Part I

Item		Page
1.	WELCOME AND REMOTE/PARTLY REMOTE MEETINGS PROTOCOL SUMMARY Members are requested to ensure that they are familiar with the attached summary of the Remote/Partly Remote Meetings Protocol. The full Remote/Partly Remote Meetings Protocol has been published and is available here: https://www.north-herts.gov.uk/home/council-and-democracy/council-and-committee-meetings .	(Pages 5 - 6)
2.	APOLOGIES FOR ABSENCE Members are required to notify any substitutions by midday on the day of the meeting. Late substitutions will not be accepted and Members attending as a substitute without having given the due notice will not be able to take part in the meeting.	
3.	MINUTES - 18 JANUARY 2021 To take as read and approve as a true record the minutes of the meeting of the Committee held on the 18 January 2021.	(Pages 7 - 12)
4.	NOTIFICATION OF OTHER BUSINESS Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chair will decide whether any item(s) raised will be considered.	
5.	CHAIR'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
6.	PUBLIC PARTICIPATION To receive petitions, comments and questions from the public.	

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| 7. | ERNST AND YOUNG OUTLINE AUDIT PLAN 2021
REPORT OF ERNST AND YOUNG | (Pages
13 - 54) |
| | To receive the Outline Audit Plan 2021. | |
| 8. | SIAS INTERNAL AUDIT PROGRESS REPORT
REPORT OF THE SHARED INTERNAL AUDIT SERVICE | (Pages
55 - 72) |
| | To receive the SIAS Internal Audit Progress Report. | |
| 9. | SIAS INTERNAL AUDIT PLAN 2021/2022
REPORT OF THE SHARED INTERNAL AUDIT SERVICE | (Pages
73 - 92) |
| | To receive the SIAS Internal Audit Plan 2021/2022. | |
| 10. | SAFS ANTI-FRAUD PLAN 2021/2022
REPORT OF THE SHARED ANTI-FRAUD SERVICE | (Pages
93 - 110) |
| | To receive the SAFS Anti-Fraud Plan 2021/2022. | |
| 11. | LOCAL CODE OF CORPORATE GOVERNANCE 2021 AND ANNUAL
GOVERNANCE STATEMENT 2019/20 (ACTION PLAN FOR 2020/21)
UPDATE
REPORT OF THE POLICY AND COMMUNITY ENGAGEMENT MANAGER | (Pages
111 -
128) |
| | For the Finance, Audit & Risk Committee to approve the Local Code of Corporate Governance for 2021; and review the progress of the Annual Governance Statement ('AGS') Action Plan for 2020/21. | |
| 12. | RISK MANAGEMENT UPDATE
REPORT OF THE SERVICE DIRECTOR – RESOURCES | (Pages
129 -
150) |
| | An update on Risk Management at North Hertfordshire District Council. | |
| 13. | THIRD QUARTER REVENUE MONITORING 2020/21
REPORT OF THE SERVICE DIRECTOR -RESOURCES | (Pages
151 -
166) |
| | To consider the Third Quarter Revenue Budget Monitoring report for 2020/21 | |
| 14. | THIRD QUARTER INVESTMENT STRATEGY (CAPITAL AND TREASURY)
REVIEW 2020/21
REPORT OF THE SERVICE DIRECTOR – RESOURCES | (Pages
167 -
202) |
| | To update the Committee on progress with delivering the Capital and Treasury Strategy as at the end of December 2020. | |
| 15. | POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS
The Chair to lead a discussion regarding possible agenda items for future meetings. | |